# **On a class of Sasakian** 5-manifolds

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### Introduction

Sasakian structures are the analogues in odd dimensions of Kähler structures, and they can be defined in terms of the Riemannian cone.

Given a Riemannian manifold (M, g), its Riemannian cone is the product  $M \times \mathbb{R}^+$  equipped with the cone metric  $t^2g + dt^2$ .

A manifold  $M^{2n+1}$  equipped with a 1-form  $\alpha$  is *contact* if the 2-form  $t^2 d \alpha + 2t d t \wedge \alpha$  is symplectic on the cone. Equivalently,  $\alpha \wedge (d \alpha)^n \neq 0$ .

If, moreover, this 2-form is Kähler, then (M, g) is called *Sasakian*.

This is the characterization given by Boyer-Galicki ('99) of Sasakian manifolds.

The original definition, given by Sasaki in the '60s, involves a quadruple  $(\Phi, \alpha, \xi, g)$ , where  $\Phi$  is a (1, 1)-tensor,  $\alpha$  is a 1-form and  $\xi$  is a nowhere vanishing vector field on M such that

$$\begin{aligned} \alpha(\xi) &= 1, \qquad \Phi^2 = -\mathbf{I} + \xi \otimes \alpha \\ g(\Phi X, \Phi Y) &= g(X, Y) - \alpha(X)\alpha(Y) , \\ 2g(X, \Phi Y) &= \mathbf{d}\alpha(X, Y) \\ N_\Phi &= -\mathbf{d}\alpha \otimes \xi , \end{aligned}$$

where  $N_{\Phi}$ , the Nijenhuis tensor associated to  $\Phi$ , is given by

$$N_{\Phi}(X,Y) = \Phi^{2}[X,Y] + [\Phi X,\Phi Y] - \Phi[\Phi X,Y] - \Phi[X,\Phi Y].$$

In the '90s Boyer, Galicki and their co-authors established relationships between Sasakian structures (or related structures such as Sasaki-Einstein, 3-Sasakian) and string theory and other geometries such as algebraic or quaternionic-Kähler geometry.

Some properties:

- $\Phi(\xi) = 0$ ,  $\alpha \circ \Phi = 0$ ,  $d\alpha(\xi, X) = 0$ ,  $g(\xi, X) = \alpha(X) \quad \forall X$ ;
- $\xi$  is a Killing vector field of unit length;
- $R(X,Y)\xi = \alpha(Y)X \alpha(X)Y$ ; therefore  $\operatorname{Ric}_g(\xi,\xi) = 2n$ .
- The sectional curvature of all plane sections containing  $\xi$  are equal to 1.
- Let  $M^{2n+1}$  be a compact Sasakian manifold, then the Betti numbers  $b_p$  are *even*, for p odd,  $p \le n$  or p even,  $p \ge n+1$  [Blair-Goldberg '67, Fujitani '66].

Some examples of Sasakian manifolds:

•  $\mathbb{R}^{2n+1}$  with the contact form  $\alpha = d z - \sum_{i=1}^{n} y_i d x_i$ ,  $\xi = \frac{\partial}{\partial z}$  and

$$2g = \alpha \otimes \alpha + \sum_{i=1}^{n} (\mathrm{d} \, x_i^2 + \mathrm{d} \, y_i^2),$$

$$\Phi\left(\frac{\partial}{\partial x_i}\right) = \frac{\partial}{\partial y_i} + x_i \frac{\partial}{\partial z}, \quad \Phi\left(\frac{\partial}{\partial y_i}\right) = -\frac{\partial}{\partial x_i}, \quad \Phi\left(\frac{\partial}{\partial z}\right) = 0.$$

•  $S^{2n+1}$ : considering the Hopf fibration  $\pi: S^{2n+1} \to \mathbb{C}P^n$  as a special case of the Boothby-Wang fibration.

In dimension 3 a homogeneous Sasakian manifold is a Lie group endowed with a left-invariant Sasakian structure [Perrone '98].

By [Perrone-Vanhecke '91] a compact, simply connected, 5-dimensional homogeneous contact manifold is diffeomorphic to  $S^5$  or to the product  $S^2 \times S^3$ . Moreover, both  $S^5$  and  $S^2 \times S^3$  carry Sasaki-Einstein structures.

[Conti '07] classified Sasaki-Einstein 5-manifolds of cohomogeneity 1.

[Diatta '08] classified 5-dimensional Lie groups equipped with left-invariant contact structures.

#### Left-invariant Sasakian structures on Lie groups

We aim to classify 5-dimensional Lie groups endowed with left-invariant Sasakian structures. This is equivalent to determining all 5-dimensional Sasakian Lie algebras.

A Sasakian structure on a Lie algebra  $\mathfrak{g}$  is a quadruple  $(\Phi, \alpha, \xi, g)$ , where  $\Phi \in \operatorname{End}(\mathfrak{g})$ ,  $\alpha \in \mathfrak{g}^*$ ,  $\xi \in \mathfrak{g}$  and g is an inner product on  $\mathfrak{g}$  such that

$$\alpha(\xi) = 1, \qquad \Phi^2 = -\mathbf{I} + \xi \otimes \alpha, \quad g(\Phi X, \Phi Y) = g(X, Y) - \alpha(X)\alpha(Y),$$
  
$$2g(X, \Phi Y) = d\alpha(X, Y), \quad N_{\Phi} = -d\alpha \otimes \xi,$$

where  $N_{\Phi}$  is defined as before. A Lie algebra equipped with a Sasakian structure will be called a *Sasakian Lie algebra*. The vector  $\xi$  will be called the *Reeb vector*.

#### Example

The classical example of a Sasakian Lie algebra is given by the (2n+1)dimensional real Heisenberg Lie algebra  $\mathfrak{h}_{2n+1}$ . We recall that

$$\mathfrak{h}_{2n+1} = \operatorname{span}\{X_1, \ldots, X_n, Y_1, \ldots, Y_n, Z\},\$$

$$[X_i, Y_i] = Z, \quad i = 1, \dots, n;$$

in this case, a Sasakian structure is defined by

$$\Phi(X_i) = Y_i, \ \Phi(Y_i) = -X_i, \ \Phi(Z) = 0, \ i = 1, \dots, n,$$

the inner product g is obtained by  $||X_i||^2 = ||Y_i||^2 = 1/2$ , ||Z|| = 1,  $\xi = Z$ and  $\alpha$  is the dual 1-form of Z.

#### **Fundamental property**

In general for a Lie algebra  $\mathfrak{g}$  with a contact structure  $\alpha$  we can prove the following property for its center  $\mathfrak{z}(\mathfrak{g})$ .

 $\alpha \in \mathfrak{g}^*$  is called a *contact form* if  $\alpha \wedge (d \alpha)^n \neq 0$ ; there always exists a unique  $\xi \in \mathfrak{g}$  such that  $\alpha(\xi) = 1$  and  $\alpha([\xi, x]) = 0$  for all  $x \in \mathfrak{g}$ .

**Proposition:** Let  $(\mathfrak{g}, \alpha)$  be a contact Lie algebra with  $\xi$  its Reeb vector and let  $\mathfrak{z}(\mathfrak{g})$  be the center of  $\mathfrak{g}$ . Then

1. dim  $\mathfrak{z}(\mathfrak{g}) \leq 1$ ;

2. if dim  $\mathfrak{z}(\mathfrak{g}) = 1$ , then  $\mathfrak{z}(\mathfrak{g}) = \mathbb{R}\xi$ .

#### **Case with non-trivial center**

**Proposition:** (A.-Fino-Vezzoni) Let  $(\mathfrak{g}, \Phi, \alpha, \xi, g)$  be a Sasakian Lie algebra with  $\mathfrak{z}(\mathfrak{g}) = \mathbb{R}\xi$ . Then the quadruple  $(\ker \alpha, \theta, \Phi, g)$  is a Kähler Lie algebra, where  $\theta$  is the component of the Lie bracket of  $\mathfrak{g}$  on ker  $\alpha$ .

**Corollary:** Let  $(\mathfrak{g}, \Phi, \alpha, \xi, g)$  be a Sasakian Lie algebra with  $\mathfrak{z}(\mathfrak{g}) = \mathbb{R}\xi$ . Then  $\mathfrak{g}/\mathfrak{z}(\mathfrak{g})$  inherits a natural Kähler structure.

Conversely, let  $(\mathfrak{h}, [,]_{\mathfrak{h}}, \omega, g)$  be a Kähler Lie algebra and set  $\mathfrak{g} = \mathfrak{h} \oplus \mathbb{R} \xi$ . Then defining

$$[X,Y] = [X,Y]_{\mathfrak{h}} - \omega(X,Y)\,\xi, \qquad [\xi,\mathfrak{h}] = 0$$

for  $X, Y \in \mathfrak{h}$  we obtain a new Lie algebra  $(\mathfrak{g}, [, ])$  equipped with a natural Sasakian structure.

#### Particular case: nilpotent Lie algebras

It is known that in dimensions 3 and 5 the only nilpotent Sasakian Lie algebras are the real Heisenberg algebras  $\mathfrak{h}_3$  and  $\mathfrak{h}_5$ , respectively ([Geiges '97] and [Ugarte '07]). We show next that this still holds in any dimension.

**Proposition:** (A.-Fino-Vezzoni) Let  $\mathfrak{g}$  be a (2n + 1)-dimensional nilpotent Lie algebra admitting a Sasakian structure. Then  $\mathfrak{g}$  is isomorphic to the (2n + 1)-dimensional Heisenberg Lie algebra.

**Proof:** Let  $(\Phi, \alpha, \xi, g)$  be a Sasakian structure on  $\mathfrak{g}$ . Since  $\mathfrak{g}$  is nilpotent it has non-trivial center  $\mathfrak{z}(\mathfrak{g}) = \mathbb{R} \xi$ . The quotient  $\mathfrak{g}/\mathfrak{z}(\mathfrak{g})$  is a Kähler nilpotent Lie algebra and, as a consequence, it is abelian. This implies immediately that  $\mathfrak{g}$  is isomorphic to the Heisenberg Lie algebra.

### **Case with trivial center**

**Proposition:** Let  $(\mathfrak{g}, \Phi, \alpha, \xi, g)$  be a Sasakian Lie algebra. Then

- 1.  $ad_{\xi} \Phi = \Phi ad_{\xi}$ , and therefore  $\ker ad_{\xi}$  and  $\operatorname{Im} ad_{\xi}$  are  $\Phi$ -invariant subspaces of  $\mathfrak{g}$ ;
- 2.  $\operatorname{ad}_{\xi} \Phi$  is symmetric with respect to g;
- 3.  $\operatorname{ad}_{\xi}$  is skew-symmetric with respect to g, thus  $(\operatorname{Im} \operatorname{ad}_{\xi})^{\perp} = \ker \operatorname{ad}_{\xi}$ .

**Corollary:** Let  $(\mathfrak{g}, \Phi, \alpha, \xi, g)$  be a Sasakian Lie algebra. Then there is an orthogonal decomposition

 $\mathfrak{g} = \ker \operatorname{ad}_{\xi} \oplus \operatorname{Im} \operatorname{ad}_{\xi}.$ 

**Proposition:** Let  $(\mathfrak{g}, \Phi, \alpha, \xi, g)$  be a Sasakian Lie algebra with trivial center.

- 1. If dim  $\mathfrak{g} \geq 5$ , then ker  $\operatorname{ad}_{\xi}$  is a Sasakian Lie subalgebra of  $\mathfrak{g}$  with non-trivial center.
- 2. If  $X \in \ker \operatorname{ad}_{\xi}, Y \in \operatorname{Im} \operatorname{ad}_{\xi}$ , then  $[X, Y] \in \operatorname{Im} \operatorname{ad}_{\xi}$ .

With respect to the decomposition  $\mathfrak{g} = \ker \operatorname{ad}_{\xi} \oplus \operatorname{Im} \operatorname{ad}_{\xi}$ , we have

$$(\operatorname{ad}_{\xi})_{|\ker \alpha} = \begin{pmatrix} 0 & 0 \\ 0 & U \end{pmatrix}, \quad \Phi_{|\ker \alpha} = \begin{pmatrix} A & 0 \\ 0 & D \end{pmatrix},$$

where  $U \colon \operatorname{Im} \operatorname{ad}_{\xi} \to \operatorname{Im} \operatorname{ad}_{\xi}$  is non-singular, and

$$A^2 = D^2 = -\mathbf{I} \qquad DU = UD.$$

In particular, if  $\mathfrak{g}$  is solvable, then the Reeb vector  $\xi$  cannot belong to the commutator  $\mathfrak{g}'$ .

## 5-dimensional Sasakian Lie algebras with trivial center

In [Ovando '06] a classification of 4-dimensional Kähler Lie algebras was given. Using this with our previous we obtain the following result:

**Theorem:** (AFV) Any 5-dimensional Sasakian Lie algebra  $\mathfrak{g}$  with non-trivial center is isomorphic to one of the following solvable Lie algebras (for  $\delta > 0$ ):

$$\begin{split} \mathfrak{g}_{1} &= \left(0, 0, 0, 0, e^{12} + e^{34}\right) \simeq \mathfrak{h}_{5}; \\ \mathfrak{g}_{2} &= \left(0, -e^{12}, 0, 0, e^{12} + e^{34}\right) \simeq \mathfrak{aff}(\mathbb{R}) \times \mathfrak{h}_{3}; \\ \mathfrak{g}_{3} &= \left(0, -e^{13}, e^{12}, 0, e^{14} + e^{23}\right) \simeq \mathbb{R} \ltimes \left(\mathfrak{h}_{3} \times \mathbb{R}\right); \\ \mathfrak{g}_{4} &= \left(0, -e^{12}, 0, -e^{34}, e^{12} + e^{34}\right) \simeq \mathfrak{aff}(\mathbb{R}) \times \mathfrak{aff}(\mathbb{R}) \times \mathbb{R}; \\ \mathfrak{g}_{5} &= \left(\frac{1}{2}e^{14}, \frac{1}{2}e^{24}, -e^{12} + e^{34}, 0, e^{12} - e^{34}\right) \simeq \mathbb{R} \times \left(\mathbb{R} \ltimes \mathfrak{h}_{3}\right); \\ \mathfrak{g}_{6} &= \left(2e^{14}, -e^{24}, -e^{12} + e^{34}, 0, e^{23}\right) \simeq \mathbb{R} \ltimes \mathfrak{n}_{4}; \\ \mathfrak{g}_{7}^{\delta} &= \left(\frac{\delta}{2}e^{14} + e^{24}, -e^{14} + \frac{\delta}{2}e^{24}, -e^{12} + \delta e^{34}, 0, e^{12} - \delta e^{34}\right) \simeq \mathbb{R} \times \left(\mathbb{R} \ltimes \mathfrak{h}_{3}\right); \\ \mathfrak{g}_{8}^{\delta} &= \left(e^{14}, \delta e^{34}, -\delta e^{24}, 0, e^{14} + e^{23}\right) \simeq \mathbb{R} \ltimes \left(\mathfrak{h}_{3} \times \mathbb{R}\right). \end{split}$$

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**Corollary:** A unimodular Sasakian Lie algebra with non-trivial center is isomorphic either to the nilpotent Heisenberg Lie algebra  $\mathfrak{h}_5$  or the solvable Lie algebra  $\mathfrak{g}_3$ . The simply connected Lie group  $G_3$  with Lie algebra  $\mathfrak{g}_3$  admits a co-compact discrete subgroup  $\Gamma$ .

The group  $G_3$  is isomorphic to  $\mathbb{R}^5$  with a certain product, and it can be checked that the subset

$$\Gamma = \left\{ \left( 2\pi m_1, m_2, m_3, m_4, \frac{1}{2\pi} m_5 \right) \mid m_i \in \mathbb{Z} \right\}$$

is a discrete subgroup that acts freely and properly discontinuously on  $G_3$ . Moreover, the quotient manifold  $\Gamma \backslash G_3$  is compact.

The solvmanifold  $\Gamma \setminus G_3$  is by construction the total space of an  $S^1$ bundle over a 4-dimensional non-completely solvable Kähler solvmanifold (this Kähler solvmanifold was found by Hasegawa in 2006).

### 5-dimensional Sasakian Lie algebras with trivial center

Let  $(\mathfrak{g}, \Phi, \alpha, \xi, g)$  be a 5-dimensional Sasakian Lie algebra with trivial center.

First, if  $\mathfrak{g}' = \mathfrak{g}$  then the only contact Lie algebra is  $\mathfrak{sl}(2,\mathbb{R}) \ltimes \mathbb{R}^2$ , according to [Diatta '08]. However, we can prove the following

**Proposition:** The Lie algebra  $\mathfrak{sl}(2,\mathbb{R})\ltimes\mathbb{R}^2$  does not admit any Sasakian structure.

Now we can consider the case of 5-dimensional Sasakian Lie algebras with trivial center and such that  $\mathfrak{g}' \neq \mathfrak{g}$ . In this case

$$\dim \ker(\mathrm{ad}_{\xi})_{|\ker \alpha} = \dim \operatorname{Im}(\mathrm{ad}_{\xi}) = 2.$$

There exists an orthonormal basis  $\{e_1, \ldots, e_4\}$  of ker  $\alpha$  with respect to which  $\Phi_{|\ker \alpha}$  can be written as

$$\Phi_{|\ker\alpha} = \begin{pmatrix} 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & 0 \end{pmatrix}$$

and ker  $ad_{\xi} = span\{\xi, e_1, e_2\}$ , Im  $ad_{\xi} = span\{e_3, e_4\}$ . Moreover in this basis

Note that in terms of  $\{e_1, \ldots, e_4\}$  the 2-form  $d\alpha$  takes the standard form  $d\alpha = 2(e^{12} + e^{34})$ .

Set  $e_5 = \xi$  and denote by  $\{e^1, \ldots, e^5\}$  the dual basis of  $\{e_1, \ldots, e_5\}$ . Since  $b \neq 0$ , we may assume  $b = \pm 1$ .

<u>Case A:</u> b = 1. The Maurer-Cartan equations are given by

$$de^{1} = a_{1} e^{12} + a_{6} e^{34},$$
  

$$de^{2} = b_{1} e^{12} + b_{6} e^{34},$$
  

$$de^{3} = -e^{45} + c_{2} e^{13} + c_{3} e^{14} + c_{4} e^{23} + c_{5} e^{24},$$
  

$$de^{4} = e^{35} + f_{2} e^{13} + f_{3} e^{14} + f_{4} e^{23} + f_{5} e^{24},$$
  

$$de^{5} = 2(e^{12} + e^{34}).$$
  
(1)

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<u>Case B:</u> b = -1. The Maurer-Cartan equations are given by

$$de^{1} = a_{1} e^{12} + a_{6} e^{34},$$
  

$$de^{2} = b_{1} e^{12} + b_{6} e^{34},$$
  

$$de^{3} = e^{45} + c_{2} e^{13} + c_{3} e^{14} + c_{4} e^{23} + c_{5} e^{24},$$
  

$$de^{4} = -e^{35} + f_{2} e^{13} + f_{3} e^{14} + f_{4} e^{23} + f_{5} e^{24},$$
  

$$de^{5} = 2(e^{12} + e^{34}).$$
  
(2)

Now, imposing the conditions  $d^2 = 0$  and  $N_{\Phi} = -de^5 \otimes e_5$ , we obtain in each case a system of equations, whose solutions give rise to the Lie algebras with trivial center admitting Sasakian structures. **Theorem:** (AFV) If a 5-dimensional Sasakian Lie algebra  $\mathfrak{g}$  has trivial center, then it is isomorphic to one of the following Lie algebras:

- $\bullet$  the direct product  $\mathfrak{sl}(2,\mathbb{R})\times\mathfrak{aff}(\mathbb{R})$  ,
- $\bullet$  the direct product  $\mathfrak{su}(2)\times\mathfrak{aff}(\mathbb{R})$  ,
- the solvable (non-unimodular) Lie algebra  $\mathfrak{g}_0$ , with Lie bracket given by

$$\begin{bmatrix} e_1, e_3 \end{bmatrix} = e_3, \quad \begin{bmatrix} e_1, e_4 \end{bmatrix} = \frac{1}{2}e_4, \quad \begin{bmatrix} e_1, e_5 \end{bmatrix} = \frac{1}{2}e_5, \\ \begin{bmatrix} e_2, e_4 \end{bmatrix} = e_5, \quad \begin{bmatrix} e_2, e_5 \end{bmatrix} = -e_4, \quad \begin{bmatrix} e_4, e_5 \end{bmatrix} = -e_3,$$

#### 5-dimensional Sasaki $\alpha$ -Einstein Lie algebras

In [Diatta '08] it was proved that no left-invariant Sasakian structure on a Lie group can be Sasaki-Einstein. Thus, we will look for left-invariant Sasaki  $\alpha$ -Einstein structures.

When the Ricci tensor of a Sasakian manifold  $(M, \Phi, \alpha, \xi, g)$  satisfies the equation  $\operatorname{Ric}_g = \lambda g + \nu \alpha \otimes \alpha$  for some constants  $\lambda, \nu \in \mathbb{R}$ , the Sasakian structure is called  $\alpha$ -Einstein.

Sasaki  $\alpha$ -Einstein metrics are natural analogues of Kähler-Einstein metrics.

**Theorem:** (Boyer-Galicki-Matzeu '99) Every Sasaki  $\alpha$ -Einstein manifold is of constant scalar curvature equal to  $s = 2n(\lambda + 1)$ , and  $\lambda + \nu = 2n$ .

A Sasakian Lie algebra  $(\mathfrak{g}, \Phi, \alpha, \xi, g)$  is called  $\alpha$ -*Einstein* if the Ricci tensor  $\operatorname{Ric}_g$  of the metric g satisfies  $\operatorname{Ric}_g = \lambda g + \nu \alpha \otimes \alpha$  for some  $\lambda, \nu \in \mathbb{R}$ .

Some known facts in 5 dimensions:

• The canonical Sasakian structure on  $\mathfrak{h}_5$  is  $\alpha$ -Einstein [Tomassini-Vezzoni '08].

• The Lie algebra  $\mathfrak{g}_0$  from the previous theorem is the only solvable (non nilpotent) 5-dimensional Lie algebra admitting a Sasaki  $\alpha$ -Einstein structure [de Andrés-Fernández-Fino-Ugarte '08].

Thus, we only have to consider the non-solvable Sasakian Lie algebras, which are  $\mathfrak{sl}(2,\mathbb{R}) \times \mathfrak{aff}(\mathbb{R})$  and  $\mathfrak{su}(2) \times \mathfrak{aff}(\mathbb{R})$ .

**Proposition:** The Lie algebra  $\mathfrak{sl}(2,\mathbb{R}) \times \mathfrak{aff}(\mathbb{R})$  admits Sasaki  $\alpha$ -Einstein structures, while no Sasakian structure on  $\mathfrak{su}(2) \times \mathfrak{aff}(\mathbb{R})$  is  $\alpha$ -Einstein.

To sum up, we can now state the following

**Theorem:** (AFV) The only 5-dimensional Lie algebras admitting a Sasaki  $\alpha$ -Einstein structure are  $\mathfrak{h}_5$ ,  $\mathfrak{g}_0$  and  $\mathfrak{sl}(2,\mathbb{R}) \times \mathfrak{aff}(\mathbb{R})$ .

**Corollary:** The nilmanifolds  $\Gamma \setminus H_5$  are the only compact manifolds of the form  $\Gamma \setminus G$  (with G a simply connected Lie group and  $\Gamma \subset G$  a lattice) which admit invariant Sasaki  $\alpha$ -Einstein structures.