### Positive energy representations of Hilbert loop algebras

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#### Plan

Problematic and motivation

Lie algebra reformulation

Locally finite Lie algebras

Locally affine Lie algebras

#### Problematic: Positive energy representations

▶ *G* Lie group with Lie algebra  $\mathfrak{g} = \mathbb{L}(G)$ .  $\alpha \colon \mathbb{R} \to \operatorname{Aut}(G) \colon t \mapsto \alpha_t$  continuous  $\mathbb{R}$ -action on G.

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#### Motivation

▶ Problem  $\approx$  "Given a Lie group G and  $d \in \mathfrak{g} = \mathbb{L}(G)$ , determine all unitary representations  $(\pi, \mathcal{H})$  of G for which  $\operatorname{Spec}(-i\mathrm{d}\pi(d))$  is bounded from below."

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- → related to semibounded unitary representations (see [Neeb 2015, arXiv:1510.08695] for a recent survey).

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$$\begin{split} \forall \alpha \in \mathfrak{h}^*, \ \mathfrak{g}_\alpha := \left\{ x \in \mathfrak{g} \mid [h,x] = \alpha(h)x \ \forall h \in \mathfrak{h} \right\} \quad \text{root space}, \\ \Delta := \Delta(\mathfrak{g},\mathfrak{h}) := \left\{ \alpha \in \mathfrak{h}^* \setminus \{0\} \mid \mathfrak{g}_\alpha \neq \{0\} \right\} \quad \text{root system}. \end{split}$$

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▶ A root  $\alpha \in \Delta$  is **integrable** if  $\mathfrak{g}_{\pm \alpha} = \mathbb{C} x_{\pm \alpha}$ ,  $\alpha([x_{\alpha}, x_{-\alpha}]) \neq 0$ , and ad  $x_{\pm \alpha}$  is locally nilpotent. Set  $\Delta_i := \{\alpha \in \Delta \mid \alpha \text{ integrable}\}$ . For  $\alpha \in \Delta_i$ , the unique  $\alpha^{\vee} \in [\mathfrak{g}_{\alpha}, \mathfrak{g}_{-\alpha}]$  with  $\alpha(\alpha^{\vee}) = 2$  is the **coroot** of  $\alpha$ . NB:  $\mathfrak{g}_{-\alpha} + \mathbb{C} \alpha^{\vee} + \mathfrak{g}_{\alpha} \cong \mathfrak{sl}_2(\mathbb{C})$  for all  $\alpha \in \Delta_i$ .

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- ▶  $W := W(\mathfrak{g}, \mathfrak{h}) := \langle r_{\alpha} \colon \mathfrak{h}^* \to \mathfrak{h}^* : \lambda \mapsto \lambda \langle \lambda, \alpha^{\vee} \rangle \alpha^{\vee} \mid \alpha \in \Delta_i \rangle \leq \mathrm{GL}(\mathfrak{h}^*)$  is the **Weyl group** of  $\mathfrak{g}$ .

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- $\mathfrak g$  is moreover **quadratic** if it possesses a non-degenerate symmetric bilinear form  $\kappa\colon \mathfrak g \times \mathfrak g \to \mathbb C$  which is *invariant*:  $\kappa([x,y],z) = \kappa(x,[y,z])$ .

- $(\mathfrak{g}, \mathfrak{h}, \kappa)$  a quadratic split Lie algebra.
- ▶  $\Delta^+ \subseteq \Delta$  a **positive system**:  $\Delta = \Delta^+ \cup -\Delta^+$  and the monoid  $\mathbb{N}[\Delta^+] := \{\sum_{i=1}^k n_i \alpha_i \mid \alpha_i \in \Delta^+, \ n_i, k \in \mathbb{N}\}$  is free.

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- ▶ Let  $\lambda \in \mathfrak{h}^*$ . A  $\mathfrak{g}$ -module  $V = V^{\lambda}$  is a **highest weight module** (HWM) with highest weight  $\lambda$  if there exists some nonzero  $v_{\lambda} \in V$  such that
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- ▶ For  $\mu \in \mathfrak{h}^*$ ,  $V_{\mu} := \{ v \in V \mid h \cdot v = \mu(h)v \ \forall h \in \mathfrak{h} \}$  weight space.  $\mathcal{P}_{\lambda} := \{ \mu \in \mathfrak{h}^* \mid V_{\mu} \neq \{0\} \}$  set of weights.

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#### Positive energy

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$$\widetilde{\rho}_{\lambda}$$
 is a PER  $\Leftrightarrow$  Spectrum of  $H := -i\widetilde{\rho}_{\lambda}(D)$  is bounded from below  $\Leftrightarrow \inf \chi(\mathcal{P}_{\lambda} - \lambda) > -\infty$   $\Leftrightarrow \inf \chi(W.\lambda - \lambda) > -\infty$ .

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### Example: $\mathfrak{g} = \mathfrak{gl}(J, \mathbb{C})$

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- ▶  $[E_{\ell\ell}, E_{jk}] = (\delta_{\ell j} \delta_{\ell k})E_{jk} = (\varepsilon_j \varepsilon_k)(E_{\ell\ell})E_{jk} \Rightarrow \mathfrak{g}_{\varepsilon_j \varepsilon_k} = \mathbb{C}E_{jk}.$  $\leadsto \mathfrak{g} = \mathfrak{h} \oplus \bigoplus_{\alpha \in \Delta} \mathfrak{g}_{\alpha}, \ \Delta = \Delta(A_J) := \{\varepsilon_j - \varepsilon_k \mid j, k \in J, \ j \neq k\}.$

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- ▶  $\leadsto$  g has a *locally finite root system*  $\Delta$  of type  $A_J$ ,  $B_J$ ,  $C_J$  or  $D_J$  for some infinite set J.

### Example: $g = gl(J, \mathbb{C})$

- ▶ For a set J, consider the pre-Hilbert space  $\mathbb{C}^{(J)} := \text{vect}_{\mathbb{C}}\{e_j\}_{j \in J}$ .
- ▶  $\mathfrak{g} := \mathfrak{gl}(J, \mathbb{C}) := \{A \in \operatorname{End}(\mathbb{C}^{(J)}) \mid A_{ij} := \langle Ae_j, e_i \rangle = 0 \ \forall'(i,j) \in J \times J\}.$ Define  $E_{jk} \in \mathfrak{g}$  by  $E_{jk}(x) := \langle x, e_k \rangle e_j$  for all  $x \in \mathbb{C}^{(J)}$ .
- ▶  $\mathfrak{h} := \{ \text{diagonal matrices } \sum_j x_j E_{jj} \} \subseteq \mathfrak{g} \text{ is a Cartan subalgebra,}$   $\mathfrak{h}^* = \{ \sum_j x_j \varepsilon_j \mid x_j \in \mathbb{C} \} \text{ where } \varepsilon_k(E_{jj}) := \delta_{jk}.$
- ▶  $[E_{\ell\ell}, E_{jk}] = (\delta_{\ell j} \delta_{\ell k})E_{jk} = (\varepsilon_j \varepsilon_k)(E_{\ell\ell})E_{jk} \Rightarrow \mathfrak{g}_{\varepsilon_j \varepsilon_k} = \mathbb{C}E_{jk}.$  $\leadsto \mathfrak{g} = \mathfrak{h} \oplus \bigoplus_{\alpha \in \Delta} \mathfrak{g}_{\alpha}, \ \Delta = \Delta(A_J) := \{\varepsilon_j - \varepsilon_k \mid j, k \in J, \ j \neq k\}.$
- ▶  $r_{\varepsilon_j-\varepsilon_k}=(j,k)\in S_J\Rightarrow W=W(\mathfrak{g},\mathfrak{h})=S_{(J)}\leq S_J$  finite permutations of J.

#### Locally finite Lie algebras

▶ g is locally finite simple

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- κ(x, y) := tr(xy) non-degenerate invariant symmetric bilinear form.
  NB: g has an antilinear involution \*: g → g : E<sub>ii</sub> → E<sup>\*</sup><sub>ii</sub> := E<sub>ii</sub>.

#### Unitary highest weight representations

▶ A g-module V is **unitary** if it has a contravariant positive definite hermitian form:  $\langle X \cdot v, w \rangle = \langle v, X^* \cdot w \rangle$  for all  $X \in \mathfrak{g}$ ,  $v, w \in V$ .

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### Example: infinite wedge representations

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### Setting

- Let  $(\mathfrak{g},\mathfrak{h})$  be a locally finite simple Lie algebra, and let  $\rho_{\lambda} \colon \mathfrak{g} \to \mathfrak{u}(V^{\lambda})$  be a unitary HWR. Extend  $\rho_{\lambda}$  to a representation  $\widetilde{\rho}_{\lambda} \colon \mathfrak{g} \rtimes \mathbb{C}D \to \operatorname{End}(V^{\lambda})$  for some  $D \in \operatorname{der}(\mathfrak{g})$  given by  $D(x_{\alpha}) = i\chi(\alpha)x_{\alpha}$  for all  $\alpha \in \Delta$ ,  $x_{\alpha} \in \mathfrak{g}_{\alpha}$ , for some character  $\chi \colon \mathbb{Z}[\Delta] \to \mathbb{R}$ . Thus  $\widetilde{\rho}_{\lambda}$  is a PER  $\Leftrightarrow \inf \chi(W.\lambda \lambda) > -\infty$ .
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The representation  $\widetilde{\rho}_{\lambda}$  is a PER if and only if  $\chi = \chi_{\min} + \chi_{\text{sum}}$  with  $\inf \chi_{\min}(W.\lambda - \lambda) = 0$  and  $\sum_{j \in J} |\chi_{\text{sum}}(\varepsilon_j)| < \infty$ .

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## Example: Unitary group $U_1(\mathcal{H})$ of Schatten class 1

▶ g = gl(J, C), H Hilbert-space completion of  $\mathbb{C}^{(J)}$  with onb  $\{e_j\}_{j\in J}$ .  $B_1(\mathcal{H})$  completion of g wrt the norm  $\|A\|_1 := \operatorname{Tr}|A|$  (trace-class operators). Set  $\mathfrak{u}_1(\mathcal{H}) = \{X \in B_1(\mathcal{H}) \mid X = -X^*\}$  and  $U_1(\mathcal{H}) = U(\mathcal{H}) \cap (\mathbb{1} + \mathfrak{u}_1(\mathcal{H}))$ .

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- ▶  $\alpha$ :  $\mathbb{R} \to U_1(\mathcal{H})$ :  $t \mapsto \alpha_t$  continuous  $\mathbb{R}$ -action  $\leadsto \alpha_t(g) = e^{itA}ge^{-itA}$  for some self-adjoint operator  $A \in B(\mathcal{H})$ . We assume A is diagonalisable:  $Ae_j = d_je_j \ \forall j \in J$ . Then  $\widehat{\rho}_{\lambda}$  extends to  $U_1(\mathcal{H}) \rtimes_{\alpha} \mathbb{R} \to U(\mathcal{H}^{\lambda})$ .

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- Lie algebra level:  $\widetilde{\rho}_{\lambda}$ :  $\mathfrak{u}_{1}(\mathcal{H}) \rtimes \mathbb{R}D \to \mathfrak{u}(\mathcal{H}^{\lambda})$  with " $D = \operatorname{ad}(iA)$ ", that is,  $D(E_{jk}) = i(d_{j} d_{k})E_{jk} = i\chi(\varepsilon_{j} \varepsilon_{k})E_{jk} \leadsto \chi$ :  $\mathbb{Z}[\Delta] \to \mathbb{R}$ :  $\varepsilon_{j} \mapsto d_{j}$ .

#### Theorem 1 (M., Neeb '15):

The representation  $\widetilde{\rho}_{\lambda}$  is a PER if and only if  $\chi = \chi_{\min} + \chi_{\text{sum}}$  with  $\inf \chi_{\min}(W.\lambda - \lambda) = 0$  and  $\sum_{j \in J} |\chi_{\text{sum}}(\varepsilon_j)| < \infty$ .

- ▶  $\mathfrak{g} = \mathfrak{gl}(J, \mathbb{C})$ ,  $\mathcal{H}$  Hilbert-space completion of  $\mathbb{C}^{(J)}$  with onb  $\{e_j\}_{j \in J}$ .  $B_1(\mathcal{H})$  completion of  $\mathfrak{g}$  wrt the norm  $\|A\|_1 := \operatorname{Tr}|A|$  (trace-class operators). Set  $\mathfrak{u}_1(\mathcal{H}) = \{X \in B_1(\mathcal{H}) \mid X = -X^*\}$  and  $U_1(\mathcal{H}) = U(\mathcal{H}) \cap (\mathbb{1} + \mathfrak{u}_1(\mathcal{H}))$ .
- ▶ Fact (Neeb '98): If  $\lambda$  is bounded, then  $\rho_{\lambda}$  lifts to a unitary representation  $\widehat{\rho}_{\lambda}$ :  $U_1(\mathcal{H}) \to U(\mathcal{H}^{\lambda})$ , where  $\mathcal{H}^{\lambda}$  is the Hilbert-space completion of  $V^{\lambda}$ .
- ▶  $\alpha$ :  $\mathbb{R} \to U_1(\mathcal{H})$ :  $t \mapsto \alpha_t$  continuous  $\mathbb{R}$ -action  $\rightsquigarrow \alpha_t(g) = e^{itA}ge^{-itA}$  for some self-adjoint operator  $A \in B(\mathcal{H})$ . We assume A is diagonalisable:  $Ae_i = d_ie_i \ \forall i \in J$ . Then  $\widehat{\rho}_{\lambda}$  extends to  $U_1(\mathcal{H}) \rtimes_{\alpha} \mathbb{R} \to U(\mathcal{H}^{\lambda})$ .
- ▶ Lie algebra level:  $\widetilde{\rho}_{\lambda}$ :  $\mathfrak{u}_1(\mathcal{H}) \rtimes \mathbb{R}D \to \mathfrak{u}(\mathcal{H}^{\lambda})$  with " $D = \operatorname{ad}(iA)$ ", that is,  $D(E_{ik}) = i(d_i d_k)E_{ik} = i\chi(\varepsilon_i \varepsilon_k)E_{ik} \leadsto \chi$ :  $\mathbb{Z}[\Delta] \to \mathbb{R}$ :  $\varepsilon_i \mapsto d_i$ .
- ► Hence  $\chi = \chi_{\min} + \chi_{\text{sum}} \Leftrightarrow A = A_{\min} + A_{\text{sum}}$  with  $A_{\min}, A_{\text{sum}} \in B(\mathcal{H})$  such that  $iA_{\text{sum}} \in \mathfrak{u}_1(\mathcal{H})$  and  $A_{\min}$  yields a *minimal energy representation*  $\Leftrightarrow \alpha_t = \alpha_t^{\min} \alpha_t^{\text{sum}} = \alpha_t^{\text{sum}} \alpha_t^{\text{min}}$  with  $\alpha_t^{\text{sum}}$  inner automorphism of  $U_1(\mathcal{H})$ .

### Locally affine Lie algebras

 $\blacktriangleright$   ${\mathfrak g}$  is locally affine  $\Leftrightarrow {\mathfrak g}$  direct limit of affine Kac–Moody algebras.

- ▶  $\mathfrak{g}$  is **locally affine**  $\Leftrightarrow \mathfrak{g}$  direct limit of affine Kac–Moody algebras.
- ▶  $\leadsto$  g has a locally affine root system  $\Delta$  of type  $A_J^{(1)}$ ,  $B_J^{(1)}$ ,  $C_J^{(1)}$ ,  $D_J^{(1)}$ ,  $B_J^{(2)}$ ,  $C_J^{(2)}$  or  $BC_J^{(2)}$  for some infinite set J.

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  - ▶ There is a non-degenerate invariant bilinear form on  $\mathcal{L}_{\varphi}(\mathring{\mathfrak{g}})$ , given by  $\kappa(t^r \otimes x, t^s \otimes y) := \delta_{r, -s} \mathring{\kappa}(x, y)$ .

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  - Let  $D \in \operatorname{der}(\mathcal{L}_{\varphi}(\mathring{\mathfrak{g}}))$  be a skew-symmetric derivation. Then  $\omega_D(x,y) := \kappa(Dx,y)$  is a 2-cocycle on  $\mathcal{L}_{\varphi}(\mathring{\mathfrak{g}})$ . Extend D to the derivation  $\widetilde{D}(z,x) = (0,Dx)$  of the corresponding central extension  $\mathbb{C} \oplus \omega_D \mathcal{L}_{\varphi}(\mathring{\mathfrak{g}})$ .

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## Setting

- Let  $(\mathfrak{g},\mathfrak{h})$  be a locally affine Lie algebra, and let  $\rho_{\lambda}\colon \mathfrak{g} \to \mathfrak{u}(V^{\lambda})$  be a unitary HWR (these exist for  $\lambda$  integral, non-vanishing on the center of  $\mathfrak{g}$ , cf. [Neeb '10 and '14]).
- Extend  $\rho_{\lambda}$  to a representation  $\widetilde{\rho}_{\lambda} : \mathfrak{g} \rtimes \mathbb{C}D \to \operatorname{End}(V^{\lambda})$  for some  $D \in \operatorname{der}(\mathfrak{g})$  given by  $D(x_{\alpha}) = i\chi(\alpha)x_{\alpha}$  for all  $\alpha \in \Delta$ ,  $x_{\alpha} \in \mathfrak{g}_{\alpha}$ , for some character  $\chi : \mathbb{Z}[\Delta] \to \mathbb{R}$ . Then  $\widetilde{\rho}_{\lambda}$  is a PER  $\Leftrightarrow \inf \chi(W.\lambda \lambda) > -\infty$ .
- ▶  $\Delta \subseteq \{0\} \times \Delta(X_J) \times \mathbb{C}$  for some  $X \in \{A, B, C, D, BC\}$ , where  $\Delta(X_J)$  can be realised inside  $\operatorname{span}_{\mathbb{Z}} \{\varepsilon_j\}_{j \in J} \subseteq \mathfrak{h}^*$ .

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### Theorem 2 (M., Neeb '15):

The representation  $\widetilde{\rho}_{\lambda}$  is a PER if and only if  $\chi = \chi_{\min} + \chi_{\text{sum}}$  with  $\inf \chi_{\min}(W.\lambda - \lambda) = 0$  and  $\sum_{i \in J} |\chi_{\text{sum}}(\varepsilon_i)| < \infty$ .

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#### Methods

- ▶ Use explicit descriptions of the Weyl group and root system for the 7 standard affinisations, corresponding to "minimal" realisations of the root systems  $X_{I}^{(1)}$ ,  $Y_{I}^{(2)}$  for  $X \in \{A, B, C, D\}$  and  $Y \in \{B, C, BC\}$ .
- Describe an explicit isomorphism from an arbitrary affinisation to a standard affinisation, as a deformation between two twists compatible with the root space decompositions.

