# Aperiodic Structures in group theory, geometry and harmonic analysis

### Tobias Hartnick, Justus-Liebig-Universität Gießen

based on joint work with Michael Björklund (Chalmers), Matthew Cordes (ETH Zürich), Felix Pogorzelski (Universität Leipzig), Vera Tonić (University of Rijeka) and Lluis Uso (JLU Gießen)

New trends and open problems in Geometry and Global Analysis, Rauischholzhausen August 27th - 31st, 2018 Groups are everywhere...

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### But isn't this bias justified?

Many problem simplify dramatically, and in fact only become tractable at all, in the presence of symmetries. Also, nature seems to have a bias towards symmetric structures, just consider how atoms are organized in crystals.

### No, it is not!

If you believe that matter is organized in periodic ways, you are stuck in the 1980s. There are mathematical structures with no symmetries which are still very tractable, and even appear in nature (quasi-crystals).

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Reconsider the things you do with periodic structures and investigate whether you can do similar things in the presence of some kind of aperiodic order. This will usually require to enrich the theory by introducing non-trivial dynamical systems.

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For limitations of time, space and competence of the speaker, I will focus on classical topics in geometric group theory, Lie theory and harmonic analysis which one usually studies in periodic situations. I will try to convince you that many of these topics can be studied in aperiodic settings.

### 1 Periodic structures: Geometric actions, lattices, periodic tilings

### 2 Approximate lattices

- 3 The hull of a uniform approximate lattice
- Geometric approximate group theory

### Groups as large-scale geometric objects

(X, d) proper geodesic metric space  $\Gamma$  (discrete) group acting on (X, d) by isometries (X, d) geometric model for  $\Gamma :\Leftrightarrow \Gamma \curvearrowright (X, d)$  properly & cocompactly

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#### Examples

(*M*, *g*) closed Riem. manifold  $\Rightarrow (\widetilde{M}, \widetilde{g})$  geometric model for  $\pi_1(M)$ .

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Γ fin.-gen., S finite gen. set ⇒ Cay(Γ, S) geometric model for Γ.

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A group  $\Gamma$  admits a geometric model iff it is fin.-gen. In this case, any two geometric models are quasi-isometric.

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Thus, fin. gen. group  $\Gamma \rightsquigarrow$  canonical QI type [ $\Gamma$ ]. Properties of [ $\Gamma$ ] are properties of  $\Gamma$  (geometric properties).

Theorem(Gromov)

 $[\Gamma] has polynomial volume growth \Leftrightarrow \Gamma is virtually nilpotent.$ 

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Conversely, groups can be used to encode QI types:

Example (Encoding QI types by finite presentations)

 $\mathsf{\Gamma}:=\langle a_1,b_1,a_2,b_2 \mid [a_1,b_1][a_2,b_2]=e\rangle \text{ has geometric model } \mathbb{H}^2$ 

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If  $\Gamma \curvearrowright (X, d)$  geometrically, then  $[\Gamma] = [X]$ . Sometimes the converse holds (QI rigidity), e.g. for Riemannian symmetric space of non-compact type without Euclidean factors (Tukkia, Pansu, Kleiner–Leeb).

# A different perspective

(X, d) proper geodesic metric space.

$: \Gamma \to \mathrm{Is}(X, d)$

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#### Observation

Isometric action  $\Gamma \curvearrowright (X, d) \leftrightarrow$ Geometric action  $\Gamma \curvearrowright (X, d) \leftrightarrow$ 

Homomorphism  $\rho : \Gamma \to \text{Is}(X, d)$  $\rho$  has finite kernel and  $\rho(\Gamma)$ is a uniform lattice in Is(X, d).

#### Recall:

Is(X, d) is a compactly-generated lcsc group w.r.t. compact-open topology (and every cglcsc group is isomorphic to some Is(X, d)). A uniform lattice in a lcsc group is a discrete, cocompact subgroup.

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The study of geometric actions is the study of finite extensions of lattices in compactly-generated lcsc groups.

# Studying lattices

A lcsc group G is called an envelope of  $\Gamma$  if  $\Gamma$  is a uniform lattice in G. Many properties of envelopes are reflected by their lattices and vice versa. A lcsc group G is called an envelope of  $\Gamma$  if  $\Gamma$  is a uniform lattice in G. Many properties of envelopes are reflected by their lattices and vice versa.

- Γ is finite, finitely generated, abelian, nilpotent, solvable, amenable, a-T-menable, has Property (T), (FL<sup>p</sup>), exponential growth, ... iff G is compact, compactly generated, abelian, nilpotent, ...
- Γ is unimodular (since the counting measure is bi-invariant), and "hence" G is unimodular.

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- $\Gamma$  is unimodular (since the counting measure is bi-invariant), and "hence" G is unimodular.

A key tool in transferring information between  $\Gamma$  and G is the associated homogeneous space  $G/\Gamma$ . This has two key properties:

- It is a compact space with a jointly continuous *G*-action (topological dynamical system).
- It admits a unique *G*-invariant probability measure with which it is an ergodic measurable *G*-dynamical system.

These dynamical systems are transitive, hence the orbit structure is trivial.

### Yet another perspective

If  $\Gamma \curvearrowright (X, d)$  geometrically and  $o \in X$ , then for every point  $x \in \Gamma.o$  define the associated Voronoi cell by

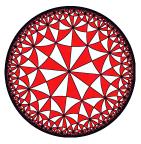
$$V(x) := \{ x' \in X \mid d(x, x') = \min_{y \in \Gamma.o} d(y, x') \}.$$

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Conversely, the symmetry group of a periodic tiling with compact tiles is a uniform lattice in the isometry group.

### Poisson summation formula (mathematical version)

For all sufficiently regular and sufficiently fast decaying functions  $f : \mathbb{R}^d \to \mathbb{C}$  the following identity holds:

$$\sum_{x\in\Gamma}f(x)=\sum_{\xi\in\Gamma^*}\widehat{f}(\xi).$$

Here,  $\Gamma^* \subset \mathbb{R}^n$  denotes the dual lattice

$$\Gamma^* = \{ v \in \mathbb{R}^n \mid \forall w \in \Gamma : \langle v, w \rangle \in \mathbb{Z} \}.$$

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## Lattices in crystallography and harmonic analysis

Lattices in  $\mathbb{R}^n$  serve as mathematical models for crystals. Experimentally, crystals are often studied by diffraction experiments, i. e. by shooting a laser at them and measuring the resulting diffraction picture. To evaluate such experiments one uses Poisson summation formula:

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For lattices in non-commutive groups there exist similar (but much more complicated) formulas (e. g. trace formulas).

### Periodic structures: Geometric actions, lattices, periodic tilings

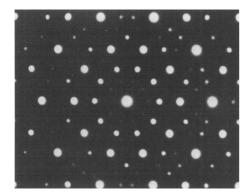
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Geometric approximate group theory

### The 1983 revolution in crystallography

The following picture was produced in 1983 by Dan Shechtman when conducting a diffraction experiment at an aluminum-manganese alloy. It single-handedly ended the period of classical crystallography:



What is so shocking about this picture?

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What would be mathematical models for such materials? It turns out that the required models were developed a decade earlier by Yves Meyer in his study of Pisot numbers. In modern terminology these are approximate lattices, a special class of approximate subgroups.

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- '84 Dan Shechtman discovers quasi-crystals experimentally; subsequent joint efforts of mathematicians, physicists and crystallographers show that Meyer's harmonious sets (now called Meyer sets) are the correct mathematical models.
- '94 L. Pauling ("There are no quasi-crystals, only quasi-scientists.") dies.

A brief and biased history of approximate subgroups (ctd.)

- '08 Papers of Bourgain-Gamburd and Helfgott in Ann. Math. point out the relevance of finite approximate subgroups in the context of superstrong approximation.
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We will not follow the historical development, but present approximate groups from our current point of view.

# Approximate subgroups

Let G be a group,  $k \in \mathbb{N}$ . A subset  $\Lambda < G$  is called a k-approximate subgroup if:

- $\Lambda$  contains the identity and  $\Lambda = \Lambda^{-1}$ .
- **2** There is a subset  $F \subset G$  of cardinality  $\leq k$  such that  $\Lambda \cdot \Lambda \subset \Lambda \cdot F$ .

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By definition, a subgroup is a 1-approximate subgroup. Every finite set A is a |A|-approximate subgroup. Symmetric arithmetic progressions in  $\mathbb Z$  are 2-approximate subgroups.

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We are going to construct infinite approximate subgroups which are not relatively dense in an actual subgroup. These examples will in fact be approximate lattices.

If (X, d) is a metric space, then  $\Xi \subset X$  is called a Delone set if

 $0 < \inf\{d(x,y) \mid x, y \in \Xi, x \neq y\} < \sup\{d(x,\Xi) \mid x \in X\} < \infty.$ 

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#### Delone sets in lcsc groups

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### Relation to Meyer's original definition (Björklund–H.)

A Delone set  $\Lambda \subset G$  is a Meyer set if  $(\Lambda^{-1}\Lambda)^k$  is discrete for all  $k \in \mathbb{N}$ . Uniform approximate lattices are precisely the symmetric Meyer sets.



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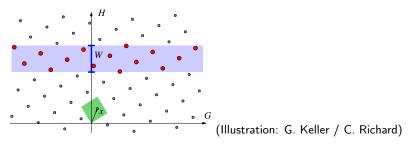
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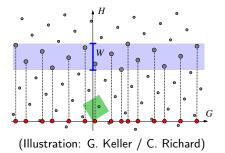
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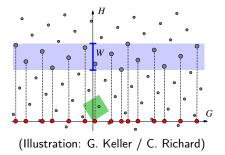
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CUT out the strip  $(G \times W) \cap \Gamma$  of the lattice ...





... and **PROJECT** the lattice points inside this strip to G.



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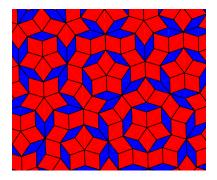
#### Outcome

The resulting model set

$$\Lambda := \Lambda(G, H, \Gamma, W) = \pi_G((G \times W) \cap \Gamma) \subset G$$

(and hence every relatively dense subset of a model set) is a Meyer set.

The Penrose tiling is the Voronoi tiling associated with a model set in  $\mathbb{R}^2$  (but Penrose did not construct it in this way, nor was he aware of Meyer's work).



Many other Euclidean tilings constructed in the last 50 years turned out to be Meyer tilings.

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#### Example

A 1-connected nilpotent Lie group G admits a uniform lattice if its Lie algebra admits a basis with rational structure constants. It admits a Meyer set iff its Lie algebra admits a basis with algebraic structure constants. Thus most nilpotent Lie groups which admit a uniform approximate lattice do not admit an actual uniform lattice.

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### Theorem [Björklund–H.]

If  $\Lambda_o$  is a model set in a lcsc group G, then there exists a model set  $\Lambda = \Lambda(G, H, \Gamma, W)$  with H a connected Lie group such that  $\Lambda_o$  is a relatively dense subset of a finite enlargement of  $\Lambda$ . In particular, if G does not admit a uniform lattice coupling with a non-trivial connected Lie group, then every model set in G is essentially periodic.

## Meyer's embedding theorem

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### The holy grail of aperiodic order

Is it true that every uniform approximate lattice in a lcsc group is a relatively dense subset of a model set?

We do not know the answer to this question for any non-abelian lcsc group (except those which don't admit uniform approximate lattices).

### Theorem [Björklund–H.]

 $A \to G \xrightarrow{\pi} Q$  abelian extension,  $\Lambda \subset G$  uniform approximate lattice. Then the following conditions are equivalent:

•  $\pi(\Lambda)$  is a uniform approximate lattice in Q.

**2** Over a relatively dense subset of  $\pi(\Lambda)$  the fibers are Meyer sets.

In this case,  $\Lambda$  and A are called adapted; A is universally adapted if it is adapted for every  $\Lambda$ .

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Thus uniform approximate lattices in nilpotent 1-connected Lie groups are iterated extensions of Meyer sets by abelian Meyer sets.

Periodic structures: Geometric actions, lattices, periodic tilings

### 2 Approximate lattices

### 3 The hull of a uniform approximate lattice

Geometric approximate group theory

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Theorem (H.–Uso)

$$\ \, \mathbf{\Omega}_{\Lambda}=\{\Lambda'\subset G\mid \forall \, R>0 \; \exists g\in G: \; \Lambda'\cap B_R(e)=g\Lambda\cap B_R(e)\}.$$

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3 Call  $f \in C(G)$  strongly pattern equivariant if for some R > 0,

$$B_R(x) \cap \Lambda = B_R(y) \cap \Lambda \quad \Rightarrow \quad f(x) = f(y).$$

Then the uniform closure  $C_{PE}(G) \subset C(G)$  of such functions is a commutative unital  $C^*$ -algebra and  $\Omega_{\Lambda} = \operatorname{spec}(C_{PE}(G))$ .

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- In the case of model sets, one has the following deep theorem, which in the abelian case goes back to Schlottmann:

#### Theorem (Björklund–H.–Pogorzelski)

If  $\Lambda(G, H, \Gamma, W) \subset G$  is a model set, then there exists a unique *G*-invariant measure  $\nu_{\Lambda}$  on  $\Omega_{\Lambda}$ . Moreover,  $L^2(\Omega_{\Lambda}, \nu_{\Lambda}) \cong L^2((G \times H)/\Gamma)$ decomposes discretely as a *G*-representation.

# Application to diffraction of quasi-crystals

The discrete decomposability of  $L^2(\Omega_{\Lambda}, \nu_{\Lambda})$  for model sets has the following consequence in the abelian setting:

Theorem (Meyer's diffraction formula)

If  $\Lambda = \Lambda(\mathbb{R}^n, \mathbb{R}^k, \Gamma, W) \subset \mathbb{R}^n$  then for all  $f \in C_c(\mathbb{R}^n)$ ,

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This explains Shechtman's diffraction experiment. The Bragg peaks are those k for which  $(k, \ell) \in \Gamma^{\perp}$  and  $|\widehat{\chi_W}(\ell)|^2 > \epsilon$ , where  $\epsilon$  is the accuracy of the detector.

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• On the LHS, f is a bi-K-invariant function on G, balls are replaced by suitable approximation sequences  $(B_R)$ , and we evaluate f a  $f(Kg^{-1}hK)$ , where  $gK \in \Lambda \cap B_R$  and  $hK \in \Lambda$ .

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- On the RHS, the spherical Fourier transform  $\hat{f}$  is evaluated at elements of the spherical automorphic spectrum of  $\Gamma$ , and the coefficients are given by a certain integral transform depending on the Gelfand pair and the lattice called the shadow transform. (If K is open in G, then this is just a version of the Hecke correspondence from analytic number theory.)

- Periodic structures: Geometric actions, lattices, periodic tilings
- 2 Approximate lattices
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- Geometric approximate group theory

An approximate group is a pair  $(\Lambda, \Lambda^{\infty})$ , where  $\Lambda^{\infty}$  is a group and  $\Lambda \subset \Lambda^{\infty}$  is an approximate subgroup which generates  $\Lambda^{\infty}$ .

**1**  $\Lambda \times X \to X \times X$ ,  $(\lambda, x) \mapsto (x, \lambda.x)$  is proper.

**2** For some (hence any)  $o \in X$  the set  $\Lambda . o$  is relatively dense in X.

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Equivalently, it is a homomorphism  $\rho_{\infty} : \Lambda^{\infty} \to \operatorname{Is}(X, d)$  such that  $\operatorname{ker}(\rho_{\infty}) \cap \Lambda^{k}$  is finite for all  $k \in \mathbb{N}$  and  $\rho_{\infty}(\Lambda)$  is a uniform approximate lattice in  $\operatorname{Is}(X, d)$ . (Cordes–H.–Tonić)

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So far, everything is as for groups, but there is one major difference: An approximate group need not have a geometric model!

 $(\Lambda,\Lambda^\infty)$  countable approx. group, *d* proper left-invariant metric on  $\Lambda^\infty$ 

 $[\Lambda]_c := [\Lambda, d|_{\Lambda \times \Lambda}]_c$  coarse equivalence class of  $\Lambda$  (independent of d).

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In the group case, if  $\Lambda=\Lambda^\infty$  is finitely generated, then one can define a canonical QI type. In our case, we have two possible generalizations:

#### First generalization

 $(\Lambda, \Lambda^{\infty})$  is geometrically finitely-generated if there exists a large-scale geodesic metric  $d_o$  on  $\Lambda$  representing  $[\Lambda]_c$ . In this case, its canonical QI type is defined as  $[\Lambda] := [\Lambda, d_o]$  (independent of  $d_o$ ).

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#### Second generalization

 $(\Lambda, \Lambda^{\infty})$  is algebraically finitely-generated if  $\Lambda^{\infty}$  is a f.g. group. In this case its external QI type is  $[\Lambda]_{\text{ext}} := [\Lambda, d_S|_{\Lambda \times \Lambda}]$  for a word metric  $d_S$  on  $\Lambda^{\infty}$  (independent of S).

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Theorem (Cordes-H.-Tonić)

A distorted approximate group does not have a geometric model.

# QI rigidity

In view of the previous result, we formulate the QI rigidity problem as follows:

#### Definition

A proper geodesic metric space (X, d) is QI rigid with respect to approximate groups if for every undistorted geometrically and algebraically finitely-generated approximate group  $(\Lambda, \Lambda^{\infty})$  we have

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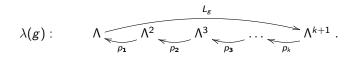
The rank one case is currently open. We believe that the real-hyperbolic case should work, except possibly for  $\mathbb{H}^2$  (ongoing joint work with T. Dymarz).

A finitely-generated group  $\Gamma$  admits a geometric action on itself with respect to a word metric.

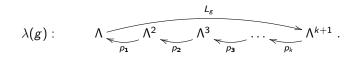
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Then  $\rho : \Lambda^{\infty} \to QI(\Lambda)$  is the left-regular geometric quasi-action.

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